ORIGINAL ARTICLE



Fucosyltransferase Gene Polymorphisms and Lewis^b-Negative Status Are Frequent in Swedish Newborns, With Implications for Infectious Disease Susceptibility and Personalized Medicine

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Background. Single-nucleotide polymorphisms (SNPs) in the fucosyltransferase genes *FUT2* and *FUT3* have been associated with susceptibility to various infectious and inflammatory disorders. *FUT* variations influence the expression of human histo-blood group antigens (HBGAs) (H-type 1 and Lewis), which are highly expressed in the gut and play an important role in microbial attachment, metabolism, colonization, and shaping of the microbiome. In particular, *FUT* polymorphisms confer susceptibility to specific rotavirus and norovirus genotypes, which has important global health implications.

Methods. We designed a genotyping method using a nested polymerase chain reaction approach to determine the frequency of SNPs in *FUT2* and *FUT3*, thereby inferring the prevalence of Lewis^b-positive, Lewis^b-negative, secretor, and nonsecretor phenotypes in 520 Swedish newborns.

Results. There was an increased frequency of homozygotes for the minor allele for 1 SNP in *FUT2* and 4 SNPs in *FUT3*. Overall, 37.3% of newborns were found to have Lewis b negative phenotypes (Le (a^+b^-) or Le (a^-b^-)). Using our new, sensitive genotyping method, we were able to genetically define the Le (a^-b^-) individuals based on their secretor status and found that the frequency of Lewis b negative newborns in our cohort was 28%.

Conclusions. Given the high frequency of fucosyltransferase polymorphisms observed in our newborn cohort and the implications for disease susceptibility, *FUT* genotyping might play a future role in personalized health care, including recommendations for disease screening, therapy, and vaccination.

Keywords. fucosyltransferase; FUT2; FUT3; Lewis^b; secretor; nonsecretor; rotavirus; norovirus.

The fucosyltransferase 2 (*FUT2*) and fucosyltransferase 3 (*FUT3*) genes give rise to H-type 1 and Lewis human histo-blood group antigens (HBGAs). Single-nucleotide polymorphisms (SNPs) located in these genes are associated with susceptibility or resistance to various infectious and inflammatory diseases and play a role in shaping the microbiome as a result of the influence of HBGAs on colonization patterns of the commensal intestinal flora. These antigens are highly expressed in the gut mucosa and secretions and are implicated in susceptibility to a range of microorganisms and other environmental stimuli [1]. HBGAs are receptors for various pathogens, including rotavirus, norovirus, *Helicobacter pylori*, and *Campylobacter jejuni*. The pattern of antigen expression by each individual, therefore,

determines his or her susceptibility to infection [2–5]. In the case of *Escherichia coli*, HBGAs have been shown to contribute to microbial metabolism by providing a carbon source [6] and also to provide nutrition for other bacteria, including commensal flora [1]. As a result of these mechanisms, *FUT* polymorphisms play an important role in infectivity by pathogenic microorganisms, intestinal colonization with commensal flora, and shaping of the microbiome [7], and there are implications for host defense, intestinal homeostasis, and disease susceptibility.

The secretor (*FUT2*) gene is located on chromosome 19q13.3 and encodes the enzyme α -1,2-fucosyltransferase, which converts the type 1 chain precursor to H-type 1 antigen. The Lewis (*FUT3*) gene is located on chromosome 19p13.3 and encodes α -1,3-fucosyltransferase, which converts the H-type 1 antigen to Lewis^b and the type 1 chain precursor to Lewis^a [8, 9] (Figure 1). The presence of specific alleles in *FUT2* and *FUT3* result in differential gene expression, protein production, and enzyme activity [10, 11]. The prevalence of each haplotype and ensuing Lewis^b and secretor phenotype markedly differs between populations (Table 1) [12, 13]. A previous study in a Swedish population (of 207 healthy individuals) found 55% of the participants to

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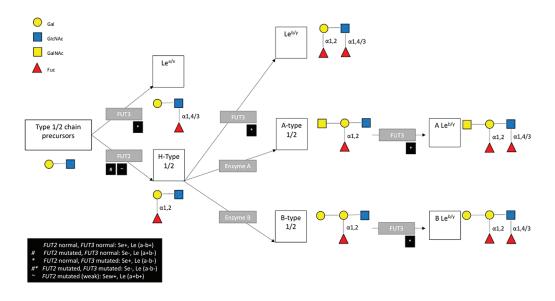


Figure 1. Histo-blood group antigen biosynthetic pathways from Type 1 and Type 2 precursors. *FUT2* generally encodes for Lewis and blood group antigen expression on Type 1 glycans, and *FUT1* generally encodes for Lewis expression on Type 2 glycans. Antigens are given in white boxes, key genes encoding enzymes are given in grey boxes. Effects of *FUT2* and *FUT3* mutations on enzyme expression and ensuing secretor, Lewis a and b phenotypes are given in black boxes. *FUT2* = $\alpha(1,2)$ fucosyltransferase, *FUT3* = $\alpha(1,3-4)$ fucosyltransferase 3, Enzyme A = Nacetylgalactosaminetransferase, Enzyme B = α -galactosyltransferase, Gal = D-galactose, GlcNAc = N-acetylglucosamine, GalNAc = N-acetylgalactosamine, Fuc = L-fucose.

be Lewis^b positive with a secretor phenotype $[Se^+, Le(a^-b^+)]$, 31% were Lewis^b-negative nonsecretors $[Se^-, Le(a^+b^-)]$, 11% were secretors with a Lewis-null phenotype $[Se^+, Le(a^-b^-)]$, and 3% were nonsecretors and Lewis null $[Se^-, Le(a^-b^-)]$ [14].

It was noted recently that H-type 1 and Lewis HBGAs are putative receptors for norovirus and rotavirus VP8* and thus play a role in viral attachment and entry into enterocytes [2, 15, 16]. Interindividual genetic variations (SNPs) in HBGAs have been found to confer either susceptibility or resistance to infection with specific norovirus and rotavirus genotypes [17]. Rotavirus infection is an important global health issue; it is a major cause of infectious gastroenteritis worldwide and accounts for approximately 215000 child deaths annually, predominantly in developing countries [18]. Rotavirus is a nonenveloped double-stranded RNA virus that belongs to the *Reoviridae* family. Rotavirus strains P[4] and P[8] bind to Lewis^b and H-type 1 HBGAs, and strain P[6] binds to the H-type 1 HBGA alone.

Table 1.	Reported Prevalence of Secretor/Lewis ^b Status in Different
Populatio	Dns ^a

	Population Prevalence (%)						
Status	Caucasiar	n African	Japanese	Chinese			
<i>Se</i> ⁺ , <i>Le</i> (a ⁻ b ⁺), secretor phenotype, Lewis ^b positive	72	55	73	62			
<i>Se</i> ⁻ , <i>Le</i> (a ⁺ b ⁻), nonsecretor phenotype, Lewis ^b negative	22	20	0.2	0			
Set or Set, Le(a-b-), any secretor phenotype, Lewis-null phenotype	6	25	10	11			
Sew ⁺ , Le(a ⁺ b ⁺) (rare), Lewis ^b -positive "weak" secretor	Rare	Rare	16.8	27			

^aAdapted from Reid et al [12] and Daniels and Bromilow [13].

Hence, individuals who have a secretor phenotype (ie, express Lewis^b antigen) are more prone to rotavirus P[8] infection [11, 17], whereas nonsecretors have an intrinsic resistance to infection by these strains [11]. This finding is supported also by the observation that secretors produce higher levels of anti-rotavirus antibodies than nonsecretors [14]. Two safe and efficacious live attenuated rotavirus vaccines are available. Either monovalent Rotarix (GSK Biologicals, Brentford, United Kingdom) or multivalent RotaTeq (Merck & Co, New York, NY) is included in routine immunization schedules in many countries. However, it is not yet included in the Swedish childhood immunization program.

Norovirus, an RNA virus in the family *Caliciviridae*, accounts for approximately 20% of all cases of acute gastroenteritis globally and represents an important public health issue because of its high rate of transmissibility [19]. Six genogroups of norovirus exist, and groups GI and GII account for the majority of infections [20]. A recent meta-analysis revealed that secretors were 4.2 times more likely to be infected with norovirus than were nonsecretors and had a 9.9 times greater risk of GII.4 genotype infection [20]. Secretors were found to have a 26.6 times greater risk of rotavirus infection than were nonsecretors [20]; 1 included study revealed that nonsecretor status was protective against severe rotavirus infection [21]. The authors of this study further highlighted population-specific differences in the frequency of *FUT2* polymorphisms, showing that the prevalence of nonsecretors was significantly lower in Hispanic children [21].

Given the implications of fucosyltransferase gene polymorphisms and ensuing Lewis^b and secretor phenotypes in

determining disease susceptibility, we genotyped a cohort of Swedish neonates to determine the frequencies of 4 SNPs in *FUT3* and 2 in *FUT2* and the prevalence of secretors, nonsecretors, Lewis^b-negative, and Lewis^b-positive neonates.

MATERIALS AND METHODS

Sample Recruitment

This study was carried out in accordance with the standing regional ethical committee and Karolinska Institutet policies, which permit the use of anonymized biological samples for research purposes. As part of the routine neonatal screening program, a Guthrie card specimen is collected from each Swedish newborn; blood from a heel punch is blotted onto filter paper and tested for a range of diseases in the first few days of life. A punch measuring 3.2 mm in diameter was taken from a dried blood spot from each of 520 anonymized newborn Guthrie cards from the Centre for Inherited Metabolic Diseases (Karolinska University Hospital Solna, Stockholm, Sweden).

Selection of FUT2 and FUT3 SNPs for Analysis

Two SNPs in *FUT2* (rs601338 and rs602662) and 4 in *FUT3* (rs778986, rs28362459, rs3894326, and rs3745635) were selected for analysis on the basis of previous publications that suggested that polymorphisms at these sites, either alone or in combination with other polymorphisms, confer abnormal fuco-syltransferase enzyme activity and therefore are associated with secretor or nonsecretor Lewis^b-negative status (Supplementary Table 1).

In silico Analysis

Because *FUT2* and *FUT3* are partially duplicated in the genome, particularly in the genes *FUT1*, and *FUT5* and/or *FUT6* respectively, we assessed the specificity of the primers used in different publications for genotyping the SNPs rs601338 and rs602662 in *FUT2* and rs778986, rs28362459, rs3894326, and rs3745635 in *FUT3*. To establish whether the different primers described in the publications identified in the systematic review were specific, we checked the annealing region for each pair of primers using the Ensembl BLAST tool (see http://www.ensembl.org/index. html, last accessed: July 19, 2017). Primer pairs that annealed in more than 1 chromosomal location and produced an amplicon of less than 100 base pairs were classified as nonspecific.

Genotyping for SNPs in the FUT2 and FUT3 Genes

Genomic DNA was extracted from the neonatal dried blood spots using a DNA-extraction-kit method (Qiagen, Dusseldorf, Germany) according to manufacturer instructions. Genomic DNA was preamplified by polymerase chain reaction (PCR) using primers specific for the *FUT2* and *FUT3* gene regions containing SNPs of interest (Supplementary Table 2). Thirty nanograms of genomic DNA, 10 μ L of 1× GoTaq colorless buffer (Promega, Madison, Wisconsin), 3 μ L of 10 mM deoxyribonucleotide

triphosphate (dNTP) (Invitrogen, Carlsbad, California), 3 µg of 1.5 mM/µL MgCl₂ (Promega), 2 µL of each forward and reverse amplification primer at 10 nM (Eurofins Scientific, Brussels, Belgium), 0.25 µL of GoTaq DNA polymerase (Promega), and 26.75 μL of distilled water were combined to result in a total reaction volume of 50 µL. Thermal cycler conditions were as follows: initial denaturation at 95°C for 2 minutes, followed by 30 cycles of denaturalization at 95°C for 30 seconds, annealing at 68°C (FUT2) or 60°C (FUT3) for 30 seconds, and extension at 72°C for 1 minute and then final extension at 72°C for 10 minutes. PCR products were visualized in 1% agarose gel. TaqMan chemistry (Life Technologies, Carlsbad, California) was used to genotype 2 SNPs in FUT2 (rs601338 [C_2405292_10] and rs602662 [C_2405293_10]) and 2 SNPs in FUT3 (rs3894326 [C_801690_10] and rs778986 [C_11458475_20]). Nontemplate negative controls and samples confirmed (by Sanger sequencing) to have mutant alleles were used as positive controls in the assays. Four microliters of amplified PCR product diluted 1:200 were used in the TaqMan reaction according to manufacturer conditions in a final volume of 20 µL (Life Technologies) and analyzed using a real-time PCR system under conditions recommended by the manufacturer (Applied Biosystems, Foster City, California). The FUT3 SNPs rs3745635 and rs28362459 were genotyped using 4 µL of amplified PCR product diluted 1:80 as a template for Sanger sequencing.

Inferring Secretor and Lewis^b Status

Neonates found to carry the ancestral (wild-type) genotype at all evaluated *FUT2* and *FUT3* SNP sites were considered to have normal *FUT2* and *FUT3* expression and were classified as Lewis^b-positive secretors [Se^+ , $Le(a^-b^+)$]. Neonates who were homozygous for the minor allele in *FUT2* at rs601338 and/or rs602662 with a wild-type *FUT3* genotype were classified as Lewis^b-negative nonsecretors [Se^- , $Le(a^+b^-)$]. Neonates homozygous for the minor allele in *FUT3* at rs778986, rs28362459, rs3894326, and/or rs3745635 with a wild-type *FUT2* genotype were considered to have a secretor Lewis-null phenotype [Se^+ , $Le(a^-b^-)$], and those who were homozygous for the minor allele at 1 or more sites in *FUT2* and *FUT3* were considered to have a nonsecretor Lewis-null phenotype [Se^- , $Le(a^-b^-)$].

Statistical Analysis

The frequency of each of the 6 SNPs analyzed in our study population was determined and compared with data obtained from the 1000 Genomes Project European Caucasian (EUR) population (see http://www.internationalgenome.org, last accessed: July 3, 2017). As previously described, the frequencies of the secretor, nonsecretor, Lewis^b-negative, and Lewisnull phenotypes were determined on the basis of analysis of the pattern of SNPs present in each neonate. Statistical analyses were performed using SPSS Statistics 23 (IBM, Armonk, New York).

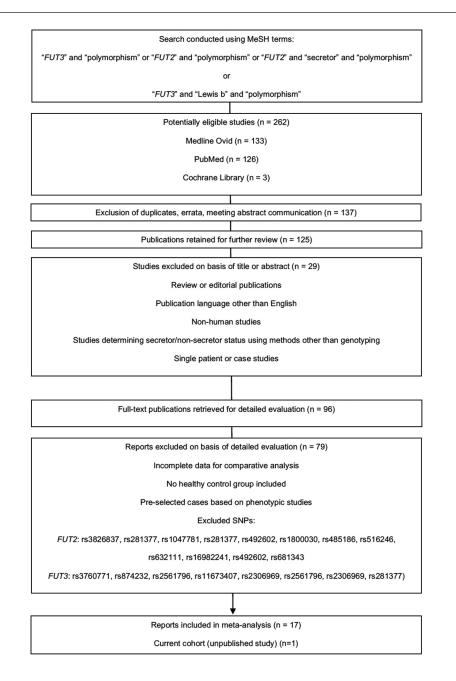
Systematic Review and Meta-analysis

To compare our genotyping results with those in other published cohorts in different populations, we conducted a systematic review and meta-analysis using PubMed (see http:// www.ncbi.nlm.nih.gov/pubmed, last accessed: July 3, 2017), Medline Ovid (see http://www.ovid.com, last accessed: July 3, 2017) and the Cochrane Library (see http://www.cochranelibrary.com, last accessed: July 3, 2017) databases by applying the Medical Subject Heading terms "*FUT2*," "*FUT3*," "single nucleotide polymorphism," "secretor," "nonsecretor," "Lewis^b," and "Lewis^b negative." We also evaluated minor genotype frequencies for each site in the published studies and the 1000 Genomes Project for selected populations. Review Manager 5.0 (2008 Cochrane Collaboration, Oxford, United Kingdom) was used to carry out the statistical analysis. The stages of the systematic review and meta-analysis, including applied inclusion and exclusion criteria are shown in Figure 2.

RESULTS

Systematic Review and Meta-analysis

Of the 126 potentially eligible studies identified after initial exclusion of duplicates, errata, and meeting abstract communications, 96 were evaluated in more detail, and 17 were included in





A rs601338

	Swedish Neonata	I Study	Published S	tudies		Risk Difference	Risk Difference
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% Cl	M-H, Random, 95% Cl
1.1.1 European							
1000 Genomes EUR	124	490	105	503	6.0%	0.04 [-0.01, 0.10]	
mbert-Marcille 2014 (French)	124	490	18	95	5.2%	0.06 [-0.02, 0.15]	
iu 1998 (Caucasian)	124	490	29	100	5.0%	-0.04 [-0.13, 0.06]	
Parmar 2012 (Finnish)	124	490	402	2738	6.2%	0.11 [0.07, 0.15]	
Thorven 2005 (Swedish)	124	490	21	104	5.3%	0.05 [-0.04, 0.14]	
Veiss 2015 (German)	124	490	233	1491	6.2%	0.10 [0.05, 0.14]	
Subtotal (95% CI)		490		5031	33.9%		•
Total events	124		808				
Heterogeneity: Tau ² = 0.00; Chi	r = 10.05, df = 5 (P =	0.07); l² =	50%				
1.1.2 Asian							
1000 Genomes CDX	124	490	0	93	6.2%	0.25 [0.21, 0.29]	
1000 Genomes CHB	124	490	3	103	6.1%	0.22 [0.17, 0.27]	
1000 Genomes CHS	124	490	Ő	105	6.2%	0.25 [0.21, 0.29]	
1000 Genomes SAS	124	490	50	489	0.0%	2.64 [1.84, 3.77]	
Hu 2014 (Chinese)	124	490	0	479	6.3%	0.25 [0.21, 0.29]	
Hu 2016 (Chinese)	124	490	Ő	580	6.3%	0.25 [0.21, 0.29]	
Su 2016 (Tawianese)	124	490	Ō	1200	6.3%	0.25 [0.21, 0.29]	
Wang 2014 (Chinese)	124	490	Ō	928	6.3%	0.25 [0.21, 0.29]	
Subtotal (95% CI)		490		3488	43.5%		•
Total events	124		3				100.0
Heterogeneity: Tau² = 0.00; Chi	r²= 1.18, df= 6 (P = 0).98); I² = (0%				
1.1.3 African							
1000 Genomes AFR	124	490	50	347	6.0%	0.11 [0.06, 0.16]	
Liu 1998 (African Xhosa)	124	490	16	101	5.4%	0.09 [0.01, 0.18]	
Subtotal (95% CI)	123	490		448	11.4%	0.00 [0.01, 0.10]	•
Fotal events	124		66				12.0
Heterogeneity: Tau² = 0.00; Chi).77); I² = ()%				
1.1.4 American							
1000 Genomes AMR	124	490	50	347	6.0%	0.11 [0.06, 0.16]	
Campi 2012 (Argentinian)	124	490	18	89	5.1%	0.05 [-0.04, 0.14]	
Subtotal (95% CI)	0.000	490		436	11.1%	0.000 / 0.00 / 0.14	◆
Fotal events	124		68				0.522
Heterogeneity: Tau² = 0.00; Chi	² = 1.15, df = 1 (P = 0	0.28); l² = 1	3%				
Fotal (95% CI)		490		9403	100.0%		•
Total events	124		945				-
Heterogeneity: Tau ² = 0.01; Chi	2.20	P < 0.0000					-0.5 -0.25 0 0.25 0 Swedish Neonatal Study Published Studies

rs602662

	Swedish Neonata	al Study	Published 9	Studies		Risk Difference	Risk Difference
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% CI	M-H, Random, 95% Cl
1.2.1 European							
1000 Genomes EUR	107	509	115	503	8.9%	-0.02 [-0.07, 0.03]	
Allin 2016 (Danish)	107	509	752	8575	9.2%	0.12 [0.09, 0.16]	
Hazra 2008 (British European)	107	509	435	1659	9.1%	-0.05 [-0.09, -0.01]	
Subtotal (95% CI)		509		10737	27.3%		-
Total events	107		1302				
Heterogeneity: Tau ² = 0.01; Chi ²	² = 44.15, df = 2 (P <	0.00001);	l² = 95%				
1.2.2 Asian							
	407	600			0.00	0.04 10 47, 0.051	
1000 Genomes CDX 1000 Genomes CHB	107	509	0	93	9.2%	0.21 [0.17, 0.25]	
	107	509	3	103	9.0%	0.18 [0.13, 0.23]	
1000 Genomes CHS	107	509	0	105	9.2%	0.21 [0.17, 0.25]	
1000 Genomes SAS	107 107	509 509	50	489 1200	9.1% 9.2%	0.11 [0.06, 0.15]	
Bu 2016 (Tawianese)			0			0.21 [0.17, 0.25]	
Nang 2014 (Chinese) Subtotal (95% Cl)	107	509 509	0	928 2918	9.2% 54.8%	0.21 [0.17, 0.25]	
	107	009		2910	34.070		•
Total events			53				
Heterogeneity: Tau² = 0.00; Chiª	- 10.40, ui - 5 (F -	0.002), 1	. 7 3 70				
1.2.3 African							
1000 Genomes AFR	107	509	161	661	9.0%	-0.03 [-0.08, 0.01]	
Subtotal (95% CI)		509		661	9.0%		•
Total events	107		161				
Heterogeneity: Not applicable							
1.2.4 American							
1000 Genomes AMR			54	347	8.9%	0.05 [0.00, 0.11]	
	107	509 509	54				-
Subtotal (95% CI)				347	8.9%		◆
Subtotal (95% CI) Total events	107		54				•
Subtotal (95% Cl) Total events Heterogeneity: Not applicable		509		347	8.9%		•
Subtotal (95% CI) Total events Heterogeneity: Not applicable Fotal (95% CI)	107		54	347			* *
Subtotal (95% CI) Total events Heterogeneity: Not applicable Total (95% CI) Total events	107	509 509	54	347	8.9%		•
Subtotal (95% CI) Fotal events Heterogeneity: Not applicable Fotal (95% CI)	107	509 509	54	347	8.9%		-0.5 -0.25 0 0.25 0

Figure 3. Forrest plots, minor genotype frequency for evaluated *FUT2* SNPs (A) and *FUT3* SNPs (B) in published studies, 1000 Genomes Project for selected populations and the current study. Abbreviation: AFR, African; AMR, American; CDX, Chinese Dai in Xishuangbanna China; CHB, Han Chinese in Beijing, China; CHS, Southern Han Chinese, China; CLM, Colombian in Medellin, Colombia; GBR, British in England and Scotland; EUR, European; FIN, Finnish in Finland; SAS, South Asian.

B rs778986

	Swedish Neonata	I study	Published s	tudies		Risk Difference	Risk Difference
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% Cl	M-H, Random, 95% Cl
1.5.1 European							
1000 Genomes EUR	32	506	14	503	8.2%	0.04 [0.01, 0.06]	
Cakir 2002 (Caucasian American)	32	506	28	577	7.5%	0.01 [-0.01, 0.04]	+-
Pang 1998 (Caucasian)	32	506	1	100	7.1%	0.05 [0.02, 0.08]	
Subtotal (95% CI)		506		1180	22.8%		(♦)
Total events	32		43				
Heterogeneity: Tau ² = 0.00; Chi ² = 4.05, df =	2 (P = 0.13); I ² = 51%						
1.5.2 Asian							
000 Genomes CDX	32	506	0	93	8.1%	0.06 [0.04, 0.09]	+
000 Genomes CHB	32	506	0	103	8.4%	0.06 [0.04, 0.09]	+
1000 Genomes CHS	32	506	0	105	8.5%	0.06 [0.04, 0.09]	-
1000 Genomes SAS	32	506	6	489	9.2%	0.05 [0.03, 0.07]	+
Subtotal (95% CI)	32	506	0	790	34.1%	0.05 [0.03, 0.07]	•
Fotal events		500	6	100	0111/0		•
Heterogeneity: Tau ² = 0.00; Chi ² = 0.94, df = 1	32 3 (P = 0.82): IZ = 0%		0				
1.5.3 African							
000 Genomes AFR	32	506	9	661	9.3%	0.05 [0.03, 0.07]	+
Cakir 2002 (African American)	32	506	1	184	9.0%	0.06 [0.03, 0.08]	+
Cakir 2004 (Caucasian & African American)	32	506	22	621	8.2%	0.03 [0.00, 0.05]	-
⁹ ang 1998 (Xhosa African) Subtotal (95% Cl)	32	506 506	0	100 1566	8.3% 34.8%	0.06 [0.04, 0.09]	•
Total events	32		32				19-50
Heterogeneity: Tau ² = 0.00; Chi ² = 5.06, df =							
1.5.4 American							
1000 Genomes AMR	32	506	6	347	8.3%	0.05 [0.02, 0.07]	÷.
Subtotal (95% CI)		506	5	347	8.3%	0.00 [0.02, 0.07]	•
Fotal events	32		6				•
Heterogeneity: Not applicable							
fotal (95% CI)		506		3883	100.0%		
Total events	32		87	2005			
Heterogeneity: Tau ² = 0.00; Chi ² = 18.20, df =		%	07			1	-0.5 -0.25 0 0.25 0.5

rs3894326

	Swedish neonatal	study	Published s	tudies		Risk Difference	Risk Difference
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% Cl	M-H, Random, 95% Cl
1.4.1 European							
1000 Genomes EUR	19	508	2	503	8.3%	0.03 (0.02, 0.05)	+
Cakir 2002 (Caucasian American)	19	508	3	577	8.2%	0.03 [0.01, 0.05]	+
Djousse 2007 (American Caucasian)	19	508	0	1407	9.0%	0.04 [0.02, 0.05]	-
Pang 1998 (Caucasian)	19	508	1	100	3.9%	0.03 (0.00, 0.05)	
Subtotal (95% CI)		508		2587	29.4%		•
Total events	19		6				
Heterogeneity: Tau ² = 0.00; Chi ² = 0.46, df = 3	(P = 0.93); I ² = 0%						
1.4.2 Asian							
1000 Genomes CDX	19	508	6	93	0.9%	-0.03 [-0.08, 0.03]	
1000 Genomes CHB	19	508	0	103	5.5%	0.03 [-0.08, 0.03]	+
1000 Genomes CHB 1000 Genomes CHS	19	508	1	103	5.5% 4.1%		
	19	508	11	489		0.03 (0.00, 0.05)	
1000 Genomes SAS					5.7%	0.01 [-0.01, 0.04]	-
Hu 2014 (Chinese)	19	508	4	580	7.9%	0.03 (0.01, 0.05)	+
Hu 2016 (Chinese) Subtotal (95% Cl)	19	508 508	4	479 1849	7.4% 31.5%	0.03 (0.01, 0.05)	T
	.19	506		1849	31.5%		•
Total events			26				
Heterogeneity: Tau ² = 0.00; Chi ² = 7.34, df = 5) (P = 0.20); P = 32%						
1.4.3 African							
1000 Genomes AFR	19	508	1	661	8.9%	0.04 [0.02, 0.05]	+
Cakir 2002 (African American)	19	508	0	184	7.5%	0.04 [0.02, 0.06]	+
Cakir 2004 (Caucasian & African American)	19	508	3	621	8.3%	0.03 [0.02, 0.05]	+
Pang 1998 (Xhosa African)	19	508	0	100	5.4%	0.04 [0.02, 0.06]	+
Subtotal (95% CI)		508		1566	30.2%		•
Total events	19		4				
Heterogeneity: Tau ² = 0.00; Chi ² = 0.19, df = 3	(P = 0.98); I ² = 0%						
1.4.4 American							
1000 Genomes AMR	19	508	2	347	7.5%	0.03 [0.01, 0.05]	+
Corvelo 2013 (Amazonian)	19	508	6	121	1.4%	-0.01 [-0.05, 0.03]	
Subtotal (95% CI)		508		468	8.9%	0.01 [0.00] 0.00]	•
Total events	19		8				T T
Heterogeneity: Tau ² = 0.00; Chi ² = 4.19, df = 1	(P = 0.04); P = 76%						
Total (95% CI)		508		6470	100.0%		•
Total events	19		44				
Heterogeneity: Tau ² = 0.00; Chi ² = 14.18, df =	15 (P = 0.51); P = 0%						las also de sta
							-0.5 -0.25 0 0.25 0
							Swedish neonatal study Published studies

rs28362459

	Swedish Neonata	Study	Published S	tudies		Risk Difference	Risk Difference
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% Cl	M-H, Random, 95% Cl
1.3.1 European							
1000 Genomes EUR	20	510	5	499	7.3%	0.03 [0.01, 0.05]	+
Cakir 2002 (Caucasian American)	20	510	7	577	7.3%	0.03 [0.01, 0.05]	
Djousse 2007 (American Caucasian)	20	510	15	1451	7.3%	0.03 [0.01, 0.05]	-
Pang 1998 (Caucasian)	20	510	3	100	6.2%	0.01 [-0.03, 0.05]	+
Subtotal (95% CI)		510		2627	28.1%		•
Total events	20		30				
Heterogeneity: Tau ² = 0.00; Chi ² = 0.96, df = 3	(P = 0.81); I ² = 0%						
1.3.2 Asian							
1000 Genomes CDX	20	510	11	93	4.3%	-0.08 (-0.15, -0.01)	
1000 Genomes CHB	20	510	2	103	6.6%	0.02 [-0.01, 0.05]	
1000 Genomes CHS	20	510	ĝ	105	5.0%	-0.05 [-0.10, 0.01]	
1000 Genomes SAS	20	510	25	489	6.9%	-0.01 [-0.04, 0.01]	-
Hu 2014 (Chinese)	20	510	29	580	7.0%	-0.01 [-0.04, 0.01]	
Hu 2016 (Chinese)	20	510	26	479	6.9%	-0.02 [-0.04, 0.01]	-
Subtotal (95% CI)	20	510	20	1849	36.8%	0.02 (0.04, 0.01)	•
Total events	20		102				
Heterogeneity: Tau ² = 0.00; Chi ² = 10.18, df =							
	0 () = 0.01 // 1 = 01 ×						
1.3.3 African							
1000 Genomes AFR	20	510	85	661	6.7%	-0.09 [-0.12, -0.06]	
Cakir 2002 (African American)	20	510	11	184	6.2%	-0.02 [-0.06, 0.02]	
Cakir 2004 (Caucasian & African American)	20	510	13	621	7.2%	0.02 [-0.00, 0.04]	-
Pang 1998 (Xhosa African)	20	510	13	100	4.3%	-0.09 [-0.16, -0.02]	
Subtotal (95% CI)		510		1566	24.4%		-
Total events	20		122				
Heterogeneity: Tau ² = 0.00; Chi ² = 44.50, df =	3 (P < 0.00001); I ² = 1	93%					
1.3.4 American							
1000 Genomes AMR	20	510	29	347	6.5%	-0.04 [-0.08, -0.01]	
Corvelo 2013 (Amazonian)	20	510	22	121	4.2%	-0.14 [-0.21, -0.07]	
Subtotal (95% CI)		510		468	10.7%		
Total events	20		51				
Heterogeneity: Tau ² = 0.00; Chi ² = 6.79, df = 1	(P = 0.009); I ² = 85%						
Total (95% CI)		510		6510	100.0%		
Total events	20	0.10	305	0310	.00.070		•
Heterogeneity: Tau ² = 0.00; Chi ² = 127.36, df=		- 00%	305				
meterogenetty, raur = 0.00, onr = 127.36, drs	= 15 (P < 0.00001); P	- 88%					-0.5 -0.25 0 0.25 0. Swedish Neonatal Study Published Studies
							Swearsh Reonatal Study Published Studies

rs3745635

	Swedish Neonata	l study	Published st	tudies		Risk Difference	Risk Difference
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% CI	M-H, Random, 95% Cl
1.6.1 European							
1000 Genomes EUR	12	518	0	503	9.4%	0.02 [0.01, 0.04]	+
Pang 1998 (Caucasian)	12	518	0	100	9.0%	0.02 [0.00, 0.04]	+
Subtotal (95% CI)		518		603	18.5%		•
Total events	12		0				
Heterogeneity: Tau² = 0.00; C	hi ² = 0.00, df = 1 (P	= 1.00); I²	= 0%				
1.6.2 Asian							
1000 Genomes CDX	12	518	0	93	9.0%	0.02 [0.00, 0.04]	+
1000 Genomes CHB	12	518	1	103	8.7%	0.01 [-0.01, 0.04]	+
1000 Genomes CHS	12	518	3	105	7.6%	-0.01 [-0.04, 0.03]	+
1000 Genomes SAS	12	518	2	489	9.4%	0.02 [0.00, 0.03]	+).
Hu 2014 (Chinese)	12	518	8	479	9.2%	0.01 [-0.01, 0.02]	+
Hu 2016 (Chinese)	12	518	9	580	9.2%	0.01 [-0.01, 0.02]	+
Subtotal (95% CI)		518		1849	53.2%		•
Total events	12		23				
Heterogeneity: Tau ² = 0.00; C	hi ² = 4.13, df = 5 (P	= 0.53); l ²	= 0%				
1.6.3 African							
1000 Genomes AFR	12	518	69	661	8.4%	-0.08 [-0.11, -0.05]	
Pang 1998 (Xhosa African)	12	518	10	100	5.2%	-0.08 [-0.14, -0.02]	
Subtotal (95% CI)		518		761	13.6%		•
Total events	12		79				
Heterogeneity: Tau² = 0.00; C Test for overall effect: Z = 6.4		= 0.89); l²	= 0%				
1.6.4 American							
1000 Genomes AMR	12	518	20	347	8.3%	-0.03 [-0.06, -0.01]	
Corvelo 2013 (Amazonian)	12	518	8	121	6.5%	-0.04 [-0.09, 0.00]	
Subtotal (95% CI)		518		468	14.8%		•
Total events	12		28				
Heterogeneity: Tau² = 0.00; C	hi² = 0.10, df = 1 (P	= 0.76); l²	= 0%				
Total (95% CI)		518		3681	100.0%		
Total events	12		130				
Heterogeneity: Tau ² = 0.00; C	chi² = 115.25, df = 11	I(P ≤ 0.00		6			-0.5 -0.25 0 0.25 0.4 Swedish Neonatal study Published studies

Figure 3. Continued.

SNP	Gene	Genotype Allele	Swedish Neonate Cohort (n [%])	1000 Genomes CEU Cohort (n [%])	P ^{a,b} (Homozygotes for Minor Allele)	OR (95% CI) ^b
rs601338	FUT2	AA	124 (25)	105 (21)	.097	1.28 (0.96–1.73)
		AG	161 (33)	234 (47)		
		GG	205 (42)	164 (33)		
rs602662	FUT2	AA	107 (21)	115 (23)	.479	0.90 (0.67-1.21)
		AG	228 (45)	241 (48)		
		GG	174 (34)	147 (29)		
rs778986	FUT3	TT	32 (6)	14 (3)	.007	2.36 (1.24-4.48)
		CT	132 (26)	153 (30)		
		CC	342 (68)	336 (67)		
rs3894326	FUT3	TT	19 (4)	2 (0.4)	<.001	0.006 (0.004-0.01)
		AT	99 (19)	69 (14)		
		AA	390 (77)	432 (86)		
rs28362459	FUT3	GG	20 (4)	5 (1)	.003	4.07 (1.51–10.92)
		GT	72 (14)	89 (18)		
		TT	418 (82)	409 (81)		
rs3745635	FUT3	AA	12 (2)	0 (0)	.001	NA
		AG	26 (5)	16 (3)		
		GG	480 (93)	487 (97)		

Abbreviations: CEU, Northern Europeans from Utah; CI, confidence interval; OR, odds ratio; SNP, single-nucleotide polymorphism.

^aχ² test. ^bBold values indicates statistically significant results.

bold values indicates statistically significant results

the meta-analysis (Figure 2; Supplementary Table 3). The frequencies of the minor allele genotype for homozygotes for each evaluated SNP in our cohort were compared with those in other published studies and the 1000 Genomes Project for different populations (Figure 3). As expected, differences were noted in minor allele genotype frequencies in different populations.

SNP Genotyping Results

Statistically significant differences between the frequencies of the minor allele genotype for homozygotes for rs778986*T (P = .007), rs3894326*A ($P \le 0.001$), rs28362459*G (P = .003), and rs3745635*A (P = .001) in *FUT3* in our cohort and those in the 1000 Genomes Project cohort were found (Table 2). We found no statistically significant difference in the frequencies of the minor allele genotype for homozygotes for the *FUT2* SNPs rs601338*A or rs602662*A. All except 1 SNP (rs602662) had a departure from Hardy–Weinberg equilibrium. We successfully genotyped 490 infants for rs601338, 509 for rs602662, 506 for rs778986, 508 for rs3894326, 510 for rs28362459, and 518 for rs3745635 (overall genotyping success rate for SNPs in this study, >95%).

Identification of a New SNP in FUT3

We identified 1 new SNP (G/T) at position 5844777 in the *FUT3* gene, 4 base pairs upstream of rs28362459 in 3 samples (National Center for Biotechnology Information submitted SNP number (ss) = 2137543878, rs1391064014). This SNP results in a synonymous change (Leu > Leu). One heterozygous (GT) and 2 homozygous (GG) neonates were identified (minor allele frequency of 0.01).

Inferred Prevalence of Secretor, Nonsecretor, Lewis^b-Negative, and Lewis-Null Phenotypes

The most prevalent minor alleles in our cohort were rs601338*A in *FUT2* (124 infants [25%]) and rs778986*T in *FUT3* (32 infants [6%]). To calculate the prevalence of each inferred phenotype correlating with the *FUT2/FUT3* haplotypes in the Swedish population, we included all neonates genotyped for all 6 SNPs in this study, and 457 neonates were considered. In our cohort, 62.7% of the neonates were classified as Lewis^b positive with a secretor phenotype [*Se*⁺, *Le*(*a*⁻*b*⁺)], 23.5% were Lewis^b-negative nonsecretors [*Se*⁻, *Le*(*a*⁺*b*⁻)], 9.6% were considered to have a secretor Lewis-null phenotype [*Se*⁺, *Le*(*a*⁻*b*⁻)], and 4.2% had a nonsecretor Lewis-null phenotype [*Se*⁻, *Le*(*a*⁻*b*⁻)] (Table 3). In total, on the basis of SNP genotyping, 37.3% of the Swedish newborns were found to have Lewis b negative

Table 3. Prevalence of Inferred Secretor/Lewis^b Phenotypes in a Swedish Neonatal Cohort

Secretor/Lewis ^b Phenotype	Prevalence (n [%])
Se [*] , Le(a ⁻ b [*]), secretor Lewis ⁶ -positive phenotype, normal FUT2 expres- sion, normal FUT3 expression	286 (62.7)
Ser, Le(arb), nonsecretor Lewis ^b -negative phenotype, FUT2 mutation, normal FUT3 expression	107 (23.5)
Ser, Le(arb), secretor Lewis-null phenotype, normal FUT2 expression, FUT3 mutation	44 (9.6)
Ser, Le(arbr), nonsecretor Lewis-null phenotype, FUT2 mutation, FUT3 mutation	19 (4.2)
Sew, Le(a ⁺ b ⁺) (rare), "weak" secretor Lewis ^b -positive phenotype, FUT2 mutation (could not be assessed in this study)	NA

Abbreviation: NA, not available.

phenotypes (Le (a^+b^-) or Le (a^-b^-)). However, using our new sensitive genotyping method, we were able to genetically define the Le (a^-b^-) individuals based on their secretor status. Overall, the frequency of Lewis b negative newborns was 28%, in keeping with the expected frequency in Caucasian populations [12, 13].

DISCUSSION

We noted statistically significant higher-than-expected frequencies of the minor allele genotype for homozygotes in all 4 SNPs in FUT3 (rs778986*T, rs3894326*A, rs28362459*G, and rs3745635*A). The frequencies of the FUT2 SNPs rs601338*A and rs602662*A were not significantly different than those in the 1000 Genomes Project cohort. We found an ensuing higher prevalence of secretors and Lewis^b-negative neonates in our cohort. Along with differences in methodology and genotyping techniques by which SNPs were identified in other studies and the variability of SNPs selected for analysis, other possible explanations for our findings include population heterogeneity and the presence of "weak" secretors (Sew) or compensatory transferases in our cohort. Weak-secretor phenotypes have been described for various populations and are associated with homozygosity for the minor allele genotype in FUT2 SNPs A385T (rs1047781) in East Asian populations [22] and G739A (rs602662) and T839C in Portuguese populations [23].

FUT2 and *FUT3* gene segments are partially duplicated in the genome, particularly in *FUT1* and *FUT5* and/or *FUT6*. Hence, we designed a nested-PCR approach to ensure binding specificity of our secondary primers and probes to facilitate acquisition of the most accurate genotyping data. Depending on the genotyping technique used, the replication of *FUT2* and *FUT3* gene segments elsewhere in the genome indicates that there is a risk of nonspecific binding to irrelevant genomic segments and inaccurate genotyping results. However, the reliability of genotyping is improved markedly if a preamplification step is applied to genomic DNA using highly specific primers to isolate the areas of interest in *FUT2* and *FUT3*.

In addition to methodologic differences, it is likely that the number and combination of SNPs analyzed will affect the observed frequencies of various secretor and Lewis^b phenotypes in a population. Many *FUT2* and *FUT3* polymorphisms have been identified, each with variable frequencies in different populations, and evidence of functional effects on enzyme function for many, but not all, SNPs has been documented. Comparison between studies is difficult, given the heterogeneity in the assignment of Lewis^b and secretor statuses based on genotyping alone and variable combinations of evaluated SNPs. It is possible that the true prevalence is even higher because of the presence of additional as-yet-unidentified SNPs with functional effects. For example, we identified a new SNP in *FUT3* that might give rise to altered Lewis antigen expression, although the functional consequence of this SNP requires further exploration. The influences of heterozygous and compound heterozygous genotypes on enzyme activity have also been described; however, in the majority of studies, only homozygosity for the minor allele is considered when assigning Lewis^b-negative status. Hence, the lack of uniformity in SNPs selected for evaluation, and consideration of homozygous and heterozygous genotypes might explain discrepancies in the reported frequencies between the studies. Therefore, the true frequency of Lewis^b-negative individuals might be underestimated. Furthermore, the effect of copy-number variations, deletions, and fusions (particularly in *FUT2*) in some populations have been described, but they require further investigation [24, 25].

Population heterogeneity also might contribute to the higher minor allele genotype frequencies in the SNPs analyzed in our cohort. It is likely that a percentage of our cohort were of non-Caucasian ethnicity, which explains the deviation from Hardy-Weinberg equilibrium observed in all but 1 evaluated SNP. A small percentage of individuals have a FUT2 mutation with a $Le(a^+b^+)$ phenotype and are weak secretors, but it is a rare phenotype in Caucasians. However, the prevalence is higher in other populations (Table 1) [12, 13, 26] and is indistinguishable from a nonsecretor phenotype on the basis of genotyping methods alone. As such, a small number of neonates in our cohort might have been weak secretors. Furthermore, there might be a role for other compensatory transferases in those with FUT2 polymorphisms such that they were phenotypically and functionally secretors, which could have inflated our nonsecretor frequency artificially.

In some studies, secretor and Lewis antigen status is classified phenotypically using antigen expression assays alone, without genotyping. It should be noted that the expression of HBGAs can change in people with an altered physiological state such as pregnancy and in those with malignancy [13, 27]. Although Lewis antigens are reported to be fully developed and detectable in saliva at birth, the presence of various red cell antigens is variable in the first weeks of life and does not reach full maturity until approximately 2 years of age [27, 28]. As such, in early childhood, genotyping-based assignment of secretor and Lewis^b status is more reliable than the detection of red cell antigens, but results are comparable to those in salivary antigen studies.

The Lewis antigen system is complex, and although our knowledge of the precise physiological role of these HBGAs remains incomplete, it has become apparent that fucosyltransferase polymorphisms and ensuing antigen expression are associated with predisposition to certain infections and diseases. As such, determining an individual's Lewis^b and secretor statuses is likely to have important implications for the provision of personalized medicine, including guidance of specific advice for prevention, screening, and management of disease. On a population level, understanding the prevalence of these polymorphisms has implications for public health and vaccination strategies.

FUT2 secretor status is associated with increased susceptibility to some genotypes of norovirus and rotavirus [11, 17], whereas nonsecretor status protects against infection [21]. Therefore, from a mechanistic perspective, vaccinating nonsecretor individuals who lack putative rotavirus P[8] receptors with a monovalent vaccine for this rotavirus genotype would be expected to elicit a poorer immune response and be less effective in these individuals. Vaccinating nonsecretors with a monovalent vaccine might be an explanation for the high rates of vaccine failure in countries such as Africa, where the frequency of Lewis^b-negative nonsecretor individuals is high. However, studies that compared the vaccine efficacy of monovalent and multivalent vaccines found that efficacies differed by only 1% in both moderate-to-high-income and developing-world settings [29, 30]. The immune response to rotavirus infection and mechanisms by which previous natural infection and immunization confer protection against subsequent infection are multifaceted, and the roles of neutralizing antibodies (both homotypic and heterotypic), interferon-mediated innate immune responses, and cell-mediated mechanisms have been investigated [31, 32]. The induction of serotype-specific neutralizing antibodies was thought to be critical for protection against rotavirus infection, resulting in the development of multivalent vaccines; however, the demonstrable efficacy of monovalent vaccines has challenged this premise [31]. A recent study found that heterotypic protective immunity to rotavirus is mediated by the production of heterotypic antibodies directed primarily toward the stalk (VP5*) of the viral attachment protein VP4, along with VP8* and surface glycoprotein VP7 [33]. Heterotypic antibody responses have been shown to confer protection against multiple rotavirus types despite monovalent vaccination [20, 34], although it remains unknown if the heterotypic antibody titers achieved for other viral strains are sufficient to confer adequate long-lasting protection.

Given the safety and efficacy of rotavirus vaccination and its demonstrated ability to reduce rotavirus-associated morbidity and death, its inclusion in routine immunization programs worldwide should be considered. In regions where rotavirus vaccination is not offered as a standard practice for all infants, evaluation of *FUT* polymorphisms and ensuing secretor and Lewis^b statuses could identify those infants who would derive the greatest benefit from rotavirus vaccination. From a mechanistic perspective, given the high prevalence of *FUT* polymorphisms in our population, a multivalent vaccine would be favorable.

Secretor status has been shown to play a role in the infectivity of other infectious diseases, including those caused by *C jejuni* [3], *H pylori* [4, 35], and human immunodeficiency virus (HIV) [36–38]. Blood group antigens facilitate *H pylori* binding to the gastric epithelium, and individuals who are secretors [4] and blood group O positive [35] are at an increased risk of infection and subsequent gastric ulceration. Nonsecretors seem to have a reduced risk of HIV infection, possibly as a result of the modification of mucosal surface carbohydrates [36], and reduced HIV-1 disease progression was found in some series [37, 38]. HBGAs provide a carbon source essential for the metabolism of bacteria such as *E coli*, thereby contributing to the virulence of these pathogens [6]. *FUT2* polymorphisms also have been associated with poor outcome and complications in premature infants, including Gram-negative sepsis and necrotizing enterocolitis [39], although these results were not reproduced in a subsequent cohort [40].

It has been found that FUT polymorphisms play a significant role in the shaping of the microbiome in terms of colonization with commensal microbiota. Intestinal dysbiosis is implicated in the pathogenesis of various autoimmune and inflammatory diseases [1]. Secretors likely have a better ability to stabilize their microbiome; secretion of HBGAs into body fluids confers an improved first line of defense against pathogens and other environmental elements, and beneficial bacteria thrive on glycosylation products [1]. FUT2 polymorphisms have been implicated in some populations as a risk factor for Crohn disease [41-43], ulcerative colitis [43, 44], Behçet disease [45], celiac disease [43], and diabetes mellitus. In addition to being a risk factor for primary sclerosing cholangitis (PSC) [46], FUT2 polymorphisms have been shown to influence biochemical parameters in patients with PSC, which affects interpretation of the carcinoembryonic antigen test that is used for malignancy screening [47]. These findings have important implications for surveillance and investigation in this patient group and might be applicable to screening for other gastrointestinal malignancies [47]. Serum lipase levels and the risk of chronic pancreatitis are elevated in nonsecretors [1]. Fucosyltransferase polymorphisms have been implicated also as risk factors for cardiovascular disease [10, 48] and various malignancies [49-51]. They have also been shown to influence serum metabolite pathways, including vitamin B₁₂ levels [52, 53].

Given the implications of fucosyltransferase polymorphisms for disease susceptibility, screening of individuals might provide useful information to assist clinicians in providing personalized healthcare. Early identification of interindividual genetic differences in the newborn period would enable information to be readily available and actionable as needed, enabling accurate prediction of disease predisposition and facilitating appropriately informed decisions regarding screening, treatment, and preventative therapies such as vaccination. Furthermore, the role of HBGAs in disease pathogenesis should be considered in the development of novel treatment modalities and in vaccine development.

Supplementary Data

Supplementary materials are available at *Journal of the Pediatric Infectious Diseases Society* online.

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Notes

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